**Appendix 1A**

**Waiver or Variance Request Form**

This form may be used to request a waiver or variance to requirements set out in reliability standards identified in subsection 3(1) of ADM-002-AB-1, Waivers and Variances or to technical requirements in those ISO rules listed in subsection 2(1) of Section 103.14 of the ISO rules, *Waivers and Variances*. Once completed, you may submit this form and any related documents via e-mail to rfi@aeso.ca. If you wish to arrange for secure exchange of information, contact rfi@aeso.ca to set up a SharePoint Online site or to arrange for a mutually acceptable alternative.

1. Request Date

Click here to enter text.

1. Market Participant Information

Identify the legal name of the market participant requesting the waiver or variance.

|  |  |
| --- | --- |
| Legal Company Name:  | Click here to enter text. |

1. Facility

Please complete one waiver or variance request form for each facility. If you wish to make requests for additional facilities, complete a separate waiver or variance request form.

|  |  |
| --- | --- |
| Facility Name  | Click here to enter text. |
| AESO Connection Project Name and No.(if applicable) | Click here to enter text. |
| Physical Location of Facility | Click here to enter text. |

1. Relevant Rules and Requirements

Identify any specific reliability standards or ISO rules and the associated requirements or subsections containing the requirements that are the subject of this request.

|  |  |
| --- | --- |
| Reliability Standard or ISO Rule Number and Name  | Requirement #/Subsection of Requirement and Description |
| Click here to enter text. | Click here to enter text. |
| Click here to enter text. | Click here to enter text. |
| Click here to enter text. | Click here to enter text. |

1. Requested Effective and Expiration Dates of the Waiver or Variance

If a specific effective date is desired for the waiver or variance to take effect, provide the date and the rationale. Please note the earliest possible date for a waiver or variance to take effect is the date of the AESO’s approval. Please also identify an expiry date for the waiver or variance, if any. This date should align with the dates in your remediation plan to achieve compliance with the applicable requirements described in section 12 of this form.

|  |  |
| --- | --- |
| Effective Date | Click here to enter text. |
| Rationale | Click here to enter text. |
| Expiry Date, if any | Click here to enter text. |
| Rationale | Click here to enter text. |

1. Grounds for the Waiver or Variance Request

Identify which of the following grounds apply to the waiver or variance request and provide the rationale.

Compliance with the requirements of the subject reliability standard or ISO rule:

[ ] (a) is not technically possible or is precluded by technical limitations;

Rationale: Click here to enter text.

[ ] (b) is operationally infeasible;

Rationale: Click here to enter text.

[ ] (c) is operationally unnecessary to achieve the intended purpose or outcome of the ISO rule;

Rationale: Click here to enter text.

[ ] (d) cannot be achieved by the required compliance date regardless of good faith efforts by the market participant which does not include a failure to appropriately plan;

Rationale: Click here to enter text.

[ ] (e) would pose a safety risk or safety issue;

Rationale: Click here to enter text.

[ ] (f) would conflict with a separate statutory or regulatory requirement that is applicable and cannot be waived or exempted;

Rationale: Click here to enter text.

[ ] (g) would require the incurrence of costs that significantly outweigh the benefits achieved or would result in severe economic hardship;

Rationale: Click here to enter text.

[ ] (h) could be achieved in an alternate timeframe that is reasonable to consider in light of other relevant factors, including upcoming scheduled maintenance, and anticipated facility upgrades;

Rationale: Click here to enter text.

[ ] (i) would have suboptimal results compared with the use of alternate technology that would meet or exceed the objectives of the subject ISO rule;

Rationale: Click here to enter text.

[ ] (j) does not allow for testing the application of technology that was not considered during the development of the requirements; and

Rationale: Click here to enter text.

[ ] (k) is not possible for the following reason:

Rationale: Click here to enter text.

1. Costs and Schedule to Comply with Requirements

Provide an estimate of the costs and schedule to comply with the requirements for which you are seeking a waiver or variance. If you are currently in compliance with the requirements, provide an estimate of the cost savings that would be realized if the proposed waiver or variance is approved.

Click here to enter text.

1. Alternatives Considered

Describe what alternatives to the proposed waiver or variance were considered and why they were rejected. For example, if complying with the requirement would pose a safety risk, explain what options were considered to mitigate the safety risks and why they were rejected.

Click here to enter text.

1. Fair, Efficient and Openly Competitive Market

Identify whether there is any material impact expected to the fair, efficient and openly competitive market of the proposed waiver or variance? Why or why not?

Click here to enter text.

1. Interconnected Electric System Reliability

Identify whether there is any material impact expected to the reliability of the interconnected electric system of the proposed waiver or variance? Why or why not? In particular, identify potential impacts to the market participant’s facilities and adjacent electrical facilities that are not owned by the market participant.

Click here to enter text.

1. Mitigation of Negative Effects

What mitigation measures or mitigation plans are in place or can be put in place to address the negative effects of the proposed waiver or variance? Please include a schedule for the completion of mitigation measure milestones and a completion date for the implementation of the mitigation plan.

Click here to enter text.

1. Remediation Plan

Describe the remediation plan to eliminate the waiver or variance and achieve compliance with the applicable requirements, including milestones and a reasonable expiration date for the waiver or variance? If remediation is not possible, provide the reasons.

Click here to enter text.

1. Other Relevant Information

Is there any other relevant information for the AESO to consider when evaluating this waiver or variance request?

Click here to enter text.

1. Requested Date for AESO Decision

If a specific date is desired for the AESO’s decision, provide the date and the rationale. Please note that the AESO will endeavour to meet the requested date but may not if there is insufficient time to process the request and come to a decision. In any event, the requested date will inform the prioritization of the request for resolution.

Click here to enter text.

1. Confidentiality

If any information, in addition to the market participant’s name and facility is commercially sensitive, and should be treated as confidential, please identify that information along with the rationale.

Click here to enter text.

1. *Authorized Representative Contact Information*

By submitting this form, the following person confirms that they are the authorized representative of the market participant and that the information contained in this request form is accurate and true to the best of their knowledge.

|  |  |
| --- | --- |
| Contact Name  | Click here to enter text. |
| Title | Click here to enter text. |
| Email Address | Click here to enter text. |
| Telephone Number | Click here to enter text. |

1. Appendices

If there are Alberta Professional Engineer or equivalent engineering authority’s certified documents (e.g. engineering reports/studies/technical papers) diagrams, photos, analysis or reports that would provide additional clarity and details relating to statements or conclusions in the waiver or variance request, identify the documents by name and date and attach them as appendices to this request form.

Click here to enter text.