

CIP-013 and Supporting Standards Reference Material



For links to NERC standards CIP-013-2, CIP-003-8, CIP-005-7, and CIP-010-4 refer to the NERC One Stop Shop <https://www.nerc.com/pa/Stand/AlignRep/One%20Stop%20Shop.xlsx>

Status	Standard Number	Standard Title	Purpose	Board Adopted Date	Filing Date of Standard	FERC Orders (Issued Date)	Effective Date of Standard	Inactive Date of Standard	Implementation Plan	Project Page	Related Documents	RSAW	Compliance Guidance
Mandatory Subject to Enforcement	CIP-003-8	Cyber Security — Security Management Controls	To specify consistent and sustainable security management controls that establish responsibility and accountability to protect BES Cyber Systems against compromise that could lead to misoperation or instability in the Bulk Electri... See Standard details..	5/9/2019	5/21/2019	7/31/2019	4/1/2020		Implementation Plan	Project 2016-02		RSAW	
Mandatory Subject to Enforcement	CIP-005-7	Cyber Security — Electronic Security Perimeter(s)	To manage electronic access to BES Cyber Systems by specifying a controlled Electronic Security Perimeter in support of protecting BES Cyber Systems against compromise that could lead to misoperation or instability in the BES.... See Standard details..	11/5/2020	12/14/2020	3/18/2021	10/1/2022		Implementation Plan	Project 2019-03	Technical Rationale CIP-005-7	RSAW	
Mandatory Subject to Enforcement	CIP-010-4	Cyber Security — Configuration Change Management and Vulnerability Assessments	To prevent and detect unauthorized changes to BES Cyber Systems by specifying configuration change management and vulnerability assessment requirements in support of protecting BES Cyber Systems from compromise that could lead to ... See Standard details..	11/5/2020	12/14/2020	3/18/2021	10/1/2022		Implementation Plan	Project 2019-03	Technical Rationale CIP-010-4	RSAW	3/25/2021: Compliance Guidance Updates
Inactive	CIP-013-1	Cyber Security - Supply Chain Risk Management	To mitigate cyber security risks to the reliable operation of the Bulk Electric System (BES) by implementing security controls for supply chain risk management of BES Cyber Systems.... See Standard details..	8/10/2017	9/26/2017	10/18/2018	10/1/2020	9/30/2022	Implementation Plan	Project 2016-03		RSAW	6/7/2017
Mandatory Subject to Enforcement	CIP-013-2	Cyber Security - Supply Chain Risk Management	To mitigate cyber security risks to the reliable operation of the Bulk Electric System (BES) by implementing security controls for supply chain risk management of BES Cyber Systems.... See Standard details..	11/5/2020	12/14/2020	3/18/2021	10/1/2022		Implementation Plan	Project 2019-03	Technical Rationale CIP-013-2	RSAW	

AESO Information Documents relevant to the CIP-003-AB-5, CIP-005-AB-5, and CIP-010-AB- 2, currently in place in Alberta:

AESO Information Document Title	Hyperlink	Source	Date Published	Applicable ARS Standard
Information Document Reasons for Access Rules and Ports Opening ID#2019-053	https://www.aeso.ca/assets/Uploads/2019-053-CIP-Reasons-for-Access-Rules-and-Ports-Opening2.pdf	AESO	12/3/2019	CIP-005
Information Document Guidance Information for CIP Standards ID#2015-003RS	https://www.aeso.ca/assets/Information-Documents/2015-003RS-Guidance-Information-for-CIP-Standards-2022-04-01.pdf	AESO	4/1/2022	CIP-003, CIP-005, CIP-010
Information Document Bulk Electric System Definition ID#2020-014	https://www.aeso.ca/assets/Information-Documents/2020-014-Bulk-Electric-System.pdf	AESO	3/19/2021	CIP-003, CIP-005, CIP-010



AESO Information Document Title	Hyperlink	Source	Date Published	Applicable ARS Standard
Information Document Radial Circuit ID#2016-006	https://www.aeso.ca/assets/Information-Documents/2016-006-Radial-Circuit-.pdf	AESO	2/9/2021	CIP-003, CIP-005, CIP-010

Additional resources and guidance may be found from these sites as noted in the table below:

- NERC: [NERC](#)
- WECC / Program Areas / Compliance / US: [WECC Compliance US](#)
- NATF / Industry-initiatives / supply-chain-industry-coordination: [Supply Chain Industry Coordination \(natf.net\)](#)

Title	Hyperlink	Source	Source Location	Date Published	Applicable ARS Standard
NATF CIP-013-1 Implementation Guidance	https://www.nerc.com/pa/comp/guidance/EROEndorsedImplementationGuidance/CIP-013-1_R1_R2_SupplyChainManagement(NATF).pdf	NERC	NERC Home> Program Areas & Departments > Compliance & Enforcement > Compliance Guidance > Implementation Guidance	6/14/2019	CIP-013
NATF CIP-013 Implementation Guidance: Using Independent Assessments of Vendors	https://www.nerc.com/pa/comp/guidance/EROEndorsedImplementationGuidance/CIP-013UsingIndependentAssessmentsOfVendors(NATF).pdf	NERC	NERC Home> Program Areas & Departments > Compliance & Enforcement > Compliance Guidance > Implementation Guidance	3/1/2022	CIP-013
Cyber Security Supply Chain Risk Management Plans Implementation Guidance for CIP-013-1	https://www.nerc.com/pa/comp/guidance/EROEndorsedImplementationGuidance/CIP-013-1-R1ImplementationGuidance.pdf	NERC	NERC Home> Program Areas & Departments > Compliance & Enforcement > Compliance Guidance > Implementation Guidance	6/7/2017	CIP-013



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NATF CIP-013 Implementation Guidance: Supply Chain Risk Management Plans	CIP-013 Supply Chain Risk Management Plans (NATF).pdf	NERC	NERC Home > Program Areas & Departments > Compliance & Enforcement > Compliance Guidance > Implementation Guidance	3/1/2022	CIP-013
Software Integrity & Authenticity Implementation Guidance for CIP-010-3 R1 Requirement Part 1.6	CIP-010-3 R1.6 Software Integrity and Authenticity.pdf	NERC	NERC Home > Program Areas & Departments > Compliance & Enforcement > Compliance Guidance > Implementation Guidance	10/6/2017	CIP-010-3 R1.6
DRAFT Security Guideline Cyber Security Risk Management Lifecycle	Draft Security Guideline- Cyber Security Risk Management Lifecycle.pdf	NERC	NERC Home > Committees > Reliability and Security Technical Committee (RSTC) > RSTC Approved Documents Page > Security Guidelines	9/19/2022	CIP-013 R1.2.1- R1.2.6
DRAFT Security Guideline Vendor Risk Management Lifecycle	Draft Security Guideline- Vendor Risk Management Lifecycle.pdf	NERC	NERC Home > Committees > Reliability and Security Technical Committee (RSTC) > RSTC Approved Documents Page > Security Guidelines	9/19/2022	CIP-013
WECC CIP-013-1 Cyber Security - Supply Chain Risk Management Guided Self-Certification Worksheet	https://www.wecc.org/Administrative/CIP-013-2_GSC_Worksheet.docx	WECC	WECC > Program Areas > Compliance > US > Reporting Forms	8/31/2022	CIP-013



Title	Hyperlink	Source	Source Location	Date Published	Applicable ARS Standard
WECC Internal Controls Failure Points and Guidance Questions CIP-010-3 R1.6	Internal Controls Failure Points-Guidance Questions CIP-010-3 R1.6.pdf	WECC	WECC > Program Areas > Compliance > US > Internal Controls	9/15/2022	CIP-010-3 R1.6
WECC CIP-003-8 - Cyber Security - Security Management Controls Guided Self-Certification Worksheet	CIP-003-8_GSC_Worksheet.docx	WECC	WECC > Program Areas > Compliance > US > Reporting Forms	8/31/2022	CIP-003-8
NATF Supply Chain Cyber Security Industry Coordination	Supply Chain Industry Coordination (natf.net)	NATF	NATF > Industry Initiatives > Supply Chain Industry Coordination	6/6/2022	CIP-013
WECC Internal Controls, Controls Guidance and Compliance Failure Points CIP-013-2	CIP-013-2 Controls Guidance and Compliance Failure Points.pdf (wecc.org)	WECC	WECC > Program Areas > Compliance > US > Internal Controls	11/3/2022	CIP-013
WECC Internal Controls, Controls Guidance and Compliance Failure Points CIP-003-8	CIP-003-8 Controls Guidance and Compliance Failure Points.pdf (wecc.org)	WECC	WECC > Program Areas > Compliance > US > Internal Controls	11/3/2022	CIP-003-8