# Request Pursuant to ISO Rule 201.2

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (the "Applicant") hereby confirms the appointment of the **ISO** as the Applicant's **agent** pursuant to the *Small Scale Generation Regulation* (Alberta) (the “SSG Regulation”) for the **pool asset** represented by identification number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (the “Asset”) for certain matters related to ISO rule201.2. [[1]](#footnote-1)

If the **maximum capability** of the Asset is equal to or greater than 5 MW (as indicated under Section 2 below), the Applicant hereby confirms that the **ISO** may appoint URICA Energy Real Time Ltd. or another third party (the “Nominee”) as the Applicant’s **agent** for the Asset for certain matters related to ISO rule 201.2.

# Representations of the Applicant:

* 1. The Applicant represents:

1. It is a **market participant;**
2. It is a **pool participant;**
3. It is duly registered to carry on business in Alberta;
4. It is the owner of the Asset, and the Asset is a small scale generating unit as defined by the *Small Scale Generation Regulation* (Alberta);
5. The **maximum capability** of the Asset is \_\_\_\_\_\_\_\_ MW;
6. All records and other information provided to the **ISO** and, if applicable, the Nominee, are and will be true and correct to the best of its knowledge; and
7. The Applicant will be bound by and fully responsible for acts and omissions of the **ISO** and the Nominee, if applicable, in their respective roles as **agent.**
   1. If the **maximum capability** of the Asset is equal to or greater than 5 MW, the Applicant further represents:
      1. It has an order from the Alberta Utilities Commission authorizing the sharing of information among the Applicant, the **ISO**, and the Nominee pursuant to the *Fair, Efficient, and Open Competition Regulation* (Alberta) (the “FEOC Regulation”); and
      2. It has provided the Nominee with authorization to dispatch \_\_\_\_\_\_\_\_ MW.
   2. Permissions Granted to the **ISO** and the Nominee:

The Applicant consents that the **ISO** may provide all of the following listed service(s) and, if the **maximum capability** of the Asset is equal to or greater than 5 MW, the Applicant further consents that the Nominee may provide the following listed service(s):

* + 1. Allow the Nominee to view Applicant confidential information and **records**
    2. Delegation of the Applicant's **ISO** information access permissions (i.e., the Nominee will be able to revoke and grant digital certificate authorizations)

iii. Provide access to the Nominee to the Energy Trading System (ETS) to obtain pool statements related to the Applicant's financial settlement matters with the **ISO**:

* + 1. Provide **Bid/Offer** Submissions for Applicant's Assets in the Energy Trading System (ETS) (includes energy and ancillary services)
    2. Respond to dispatches and directives through the Automated Dispatch and Messaging System (ADaMS) (includes energy and ancillary services)
  1. The contact information for the Applicant is as follows:

Applicant's Legal Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Applicant's Business Name (if different from Legal Name): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***Individual Contact of Applicant***

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

# Acknowledgements of the Applicant

* 1. The Applicant acknowledges as follows:
     1. The proposed start date and proposed end date of the above appointments for the purposes of **ISO rule** 201.1 will commence \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ and expire on June 1, 2029.
     2. Termination of the above appointments will occur within 3 business days of the expiry date set out under subsection 3(a)(i) unless the Applicant and the Nominee, if applicable, submit a revised Agent Appointment Request Form at least 30 days prior to the expiry date.
     3. The Applicant must notify the **ISO** and submit a revised Agent Appointment Request Form if any changes occur to the foregoing information.
  2. If the **maximum capability** of the Asset is equal to or greater than 5 MW, the Applicant further acknowledges as follows:
     1. The **ISO** will provide the Nominee with a digital certificate and/or ADaMS account which grants the right to, and enables the Nominee to, view certain confidential **records** and information of the Applicant. This includes:
* Digital Certificate for the ETS; and/or
* ADaMS Account
  + 1. The Applicant must notify the **ISO** and submit a revised Agent Appointment Request Form if any changes occur to the eligibility under the FEOC Regulation or the SSG Regulation.

# Applicant's Confirmation and Agreement

The Applicant hereby agrees with and confirms to the **ISO** the provisions of Sections 2 and 3 above are true and correct to the best of its knowledge and it has entered into an agreement with the **ISO** for the provisions of the above referenced services as **Agent** of the Applicant.

Applicant: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

per: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name/Title (an authorized officer of the Applicant): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The collection of this personal information is permitted under Section 33(a) & (c) of the *Freedom of Information and Protection of Privacy Act* (Alberta) and is authorized under the *Electric Utilities Act* (Alberta)*.* The information collected is required and necessary to determine eligibility as a pool participant and eligibility to use an agent to participate in market activities. If you have any questions about the collection or use of this information, please contact the Access and Privacy Team Lead, Legal, 26th Floor, 2500, 330 - 5th Ave SW, Calgary, Alberta, T2P 0L4 or by telephone at 403-539-2528.

**The following Section is to be Completed by Nominee if the maximum capability of the Asset is Equal to or Greater than 5 MW**

# Representations of the Nominee

* 1. The Nominee represents as follows:
     1. It is duly registered to carry on business in Alberta; and
     2. The following is a complete list of all **affiliates** of the Nominee who are either a **pool participant** or an **agent:**

(complete and list)

**Affiliates**

* 1. The contact information for the Nominee is as follows:

Nominee's Legal Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Nominee's Business Name (if different from Legal Name): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***Individual Contact* of *Nominee***

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

# Acknowledgements of the Nominee

* 1. The Nominee acknowledges as follows:
     1. The proposed start date and proposed end date of the above appointments for the purposes of **ISO rule** 201.1 will commence and expire on the dates set forth in Section 3.
     2. Termination of the above appointments will occur within 3 business days of the expiry date set out under subsection 3(a)(i) unless the Applicant and the Nominee, if applicable, submit a revised Agent Appointment Request Form at least 30 days prior to the expiry date.
     3. The **ISO** will provide the Nominee with a digital certificate and/or ADaMS account which grants the right to, and enables the Nominee to, view certain confidential **records** and information of the Applicant. This includes:
* Digital Certificate for the ETS; and/or
* ADaMS Account
  + 1. The Applicant must notify the ISO and submit a revised Agent Appointment Request Form if any changes occur to the foregoing information or eligibility under the FEOC Regulation or the SSG Regulation.

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# Nominee's Confirmation and Agreement if the Maximum Capability of the Asset is Equal to or Greater than 5 MW

The Nominee herby agrees with and confirms to the **ISO** and the Applicant the provisions of Section 5 and 6 above are true and correct to the best of its knowledge, and it has entered into an agreement with the **ISO** to provide the above referenced services and to act as the Applicant’s agent.

Nominee: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

per: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name/Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The collection of this personal information is permitted under Section 33(a) & (c) of the *Freedom of Information and Protection of Privacy Act* (Alberta) and is authorized under the *Electric Utilities Act* (Alberta)*.* The information collected is required and necessary to determine eligibility as a pool participant and eligibility to use an agent to participate in market activities. If you have any questions about the collection or use of this information, please contact the Access and Privacy Team Lead, Legal, 26th Floor, 2500, 330 - 5th Ave SW, Calgary, Alberta, T2P 0L4 or by telephone at 403-539-2528.

1. Bolded, non-italicized words or phrases have the meaning provided in the ISO rules. [↑](#footnote-ref-1)