

Monday, February 8, 2021

In response to COVID-19 challenges experienced by the industry, the AESO has assessed the impact on 2021 compliance monitoring activities and would like to inform all market participants of the following timelines regarding the ARS compliance monitoring scheduled audits for 2021:

- ***Audit period remains unchanged for scheduled quarters/years***
- ***Audit notification and scope will be issued approx. 1 month from the audit end date***
- ***Audit workshops will occur approx. 2 months from the audit end date***
- ***Audit evidence submission is approx. 3 months from the audit end date***
- ***Applies to entities in both the posted “Audit Schedule” and “CIP Audit Schedule”***

Scheduled Audit	Audit Period End Date	Notification	Workshop	Evidence Submission
Q1-2021	December 31, 2021	First week of February	First week of March	April 9, 2021
Q2-2021	March 31, 2021	First week of May	First week of June	July 9, 2021
Q3-2021	June 30, 2021	First week of August	First week of September	October 8, 2021
Q4-2021	September 30, 2021	First week of November	First week of December	Jan 7, 2022

Please send any questions regarding the audit timelines or compliance monitoring activities to [rscompliance@aeso.ca](mailto:rscompliance@aeso.ca)

Kind regards,

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