

Scenarios Summarizing Information Incentives Related to GUOC

Scenario	Point in Time	Maximum Capability (MC)	Project In-Service Date	GUOC Refund (per Section 505.2 & ISO tariff, Section 7)	Incentives to Provide Good Information and Effects of Information Changes
1. MC increases after GUOC invoice is issued and prior to energization	GUOC invoice issued	10 MW	July 1, 2023	GUOC invoice reassessed to reflect MC of 15 MW. Legal owner pays incremental amount of GUOC associated with +5 MW. <i>Assessment of Year 1 (2024) Performance:</i> Refund = 100% of refund period GUOC based on 15 MW MC if metered energy > 0 MW in 2024	(1) Impact on connection and AIES may need to be restudied, resulting in connection process delays. (2) An increase in MC will result in an additional incremental GUOC payment. (3) Ongoing performance assessment is based on the total GUOC invoiced amount. (4) Pool participants must submit MC to the AESO during market registration processes, pursuant to Section 201.1. A change to MC will flow through to the energy market and inform “must offer, must comply” requirements.
	Refund assessment (January 2025)	15 MW	July 1, 2023		
2. MC decreases after GUOC invoice is issued and prior to energization	GUOC invoice issued	10 MW	July 1, 2023	No change to GUOC invoice <i>Assessment of Year 1 (2024) Performance:</i> Refund = 100% of refund period GUOC	(1) Impact on connection and AIES may need to be restudied, resulting in connection process delays. (2) A decrease in MC after GUOC payment will not result in an upfront GUOC refund.
	Refund assessment (January 2025)	5 MW	July 1, 2023		

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				based on MC of 10 MW if metered energy > 0 MW in 2024	(3) Incentives resulting from GUOC are only through the ongoing performance assessment, which will be based on the initial GUOC invoiced amount. (4) Pool participants must submit MC to the AESO during market registration processes, pursuant to Section 201.1. A change to MC will flow through to the energy market and inform “must offer, must comply” requirements.
3. In-service date is earlier than indicated to the AESO	GUOC invoice issued	10 MW	July 1, 2023	<i>Assessment of Year 1 (2024) Performance:</i> Refund = 100% of refund period GUOC based on MC of 10 MW if metered energy > 0 MW in 2024	(1) Impact on connection and AIES may need to be restudied, resulting in connection process delays. (2) Early ISD results in no financial impact in this example.
	Refund assessment (January 2025)	10 MW	February 1, 2023		
4. In-service date is later than indicated to the AESO	GUOC invoice issued	10 MW	July 1, 2023	<i>Assessment of Year 1 (2024) Performance:</i>	(1) Impact on connection and AIES may need to be
	Refund assessment	10 MW	July 1, 2024		

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	(January 2025, January 2026)			<p>Refund = 0% according to Section 7.5(4)(c) of the ISO tariff</p> <p><i>Assessment of Year 2 (2025) Performance:</i></p> <p>Refund = 100% of refund period GUOC based on MC of 10 MW if metered energy > 0 MW in 2025</p>	<p>restudied, resulting in connection process delays.</p> <p>(2) Late ISD results in the loss, in this example, of 1 year of GUOC refund.</p>
5. Staged MC	GUOC invoice issued (for 60 MW)	10 MW 20 MW 30 MW	July 1, 2023 February 1, 2024 November 1, 2024	<p><i>Assessment of Year 1 (2024) Performance:</i></p> <p>Refund = 100% of refund period GUOC based on MC of 60 MW if metered energy > 0 MW in 2024</p>	<p>(1) Legal owner is required to pay GUOC on full MC upfront.</p> <p>(2) Impact on connection and AIES may need to be restudied, resulting in connection process delays.</p> <p>(3) Ongoing performance assessment is based on the total GUOC invoiced amount (higher than actual MC until project is fully completed)</p>
	Refund assessment (January 2025, January 2026, January 2027)	10 MW 20 MW 30 MW	July 1, 2023 February 1, 2024 November 1, 2024	<p><i>Assessment of Year 2 (2025) Performance:</i></p> <p>Refund = 100% of Refund Period GUOC based on MC of 60 MW if metered energy > 0 MW in 2025</p> <p><i>Assessment of Year 3 (2026) Performance</i></p>	

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				Refund = 100% of Refund Period GUOC based on MC of 60 MW if metered energy > 0 MW in 2026	
6. Small Generation (<5MW)¹	GUOC invoice issued	2 MW	July 1, 2023	<i>Assessment of Year 1 (2024) Performance:</i> Refund = 0% according to Section 7.5(4)(c) of the ISO tariff	(1) Late ISD results in the loss of, in this example, 1 year of GUOC refund
	Refund assessment (January 2025, January 2026)	2 MW	July 1, 2024	<i>Assessment of Year 2 (2025) Performance:</i> Refund = 100% of Refund Period GUOC (based on <u>2 MW</u>) if metered energy > 0 in 2025	

¹ GUOC refund assessment for small generation assets with changes to MC and in-service date would typically be treated in a similar manner as assets >5 MW.