

10. INFORMATION EXCHANGE

10.1 Information Disclosure Principles

The ISO will provide open and timely exchange of relevant and non-confidential information.

Information that will be published and made available through the **ISO** is summarized in the accompanying Appendix 5. The following principles are to be used in determining the information and manner in which such information shall be made available by the **ISO**.

- a) All relevant, non-**confidential information** shall be accessible by all persons in open and non-discriminatory fashion.
- b) Each person will be responsible for its own costs of accessing and retrieving information made available by the **ISO**.
- c) The **ISO** shall publish or make available all relevant, non-**confidential information**, in a timely fashion.
- d) In the case of electronic data sharing, access to **ISO** information shall be provided on a read-only basis.
- e) The **ISO** will publish a minimum or critical set of data to be made available in order to allow **pool participants** to make rational, informed decisions regarding **offers** and **bids**. Such data will be made available as soon as is reasonably practical.
- f) The **pool participants** have equal access to all information available under these **rules**.
- g) Information exchange will recognize the needs of the **power pool** to function as a fair and efficient market for electric energy.

10.2 Forecast Scheduling Period Data, Six Day Forecast

The ISO will provide forecasted information for the forecast scheduling period.

The **ISO** will use reasonable best efforts to make available before 16:00 each **day**, for each of the remaining 6 **days** within the **forecast scheduling period**, the following information:

- a) Peak forecast **AIES demand** in **MW** for each **day** of the **forecast scheduling period**;
- b) Aggregate **offer/supply** availability in **MW** for each **settlement interval**; and
- c) A forecast **adequacy** assessment for each **settlement interval**,

10.3 Day Ahead, Trading Day Forecast

The ISO will provide forecast information for the next trading day.

The **ISO** will use reasonable best efforts to make available before 16:00 each **day**, for the next **trading day** the following information:

- a) Peak forecast **AIES demand** in **MW** for the **trading day**,

- b) Aggregate **offer**/supply availability in **MW** for each **settlement interval**,
- c) A forecast **adequacy** assessment for each **settlement interval**, and
- d) Such other information as the **ISO** considers appropriate.

10.4 Next Two Settlement Intervals Forecast

The ISO will provide a forecast system marginal price for the next two settlement intervals.

The **ISO** will use reasonable efforts to make available during the preceding two **settlement intervals** for each **settlement interval** of the **trading day's** operation the **forecast dispatch price** in \$/MWh.

10.5 Settlement Interval Following

The ISO will provide the pool price and demand as soon as practicable after each settlement interval.

The **ISO** will make available during a **trading day**, as soon as practicable after each **settlement interval**, the following information for the applicable **settlement interval**:

- a) Pool price in \$/MWh,
- b) AIES demand in MW, and
- c) Such other information as the **ISO** considers appropriate.

10.6 Trading Day History

Without disclosing the source of offers or bids, the ISO will provide a summary of each trading day's activities.

As soon as practicable following the end of each **settlement interval**, the **ISO** will update and make available histories showing:

- a) **Pool price** in \$/MWh for each **settlement interval**.
- b) **AIES demand** in MW for each **settlement interval**.
- c) **Forecast pool prices** in \$/MWh for the same **trading day**.
- d) Regarding **power pool** supply, without disclosing the source of such **offers**, the following as contained in the **offers**:
 - i) **Operating block(s)** in MW;
 - ii) **Price block(s)** in \$/MWh.
- e) Regarding **power pool** purchases and without disclosing the source of such **bids** the following as contained in the **bids**:

- i) **Operating block(s)** in MW;
- ii) **Price block(s)** in \$/MWh.
- f) Summary of declared changes to **offer** and **bid** availability without disclosing the source of such declared changes.
- g) Summary of aggregated TMR dispatch quantities (MWh), aggregated DDS dispatches (MWh) and reference price by settlement interval.

10.7 ISO Records and Reports

The ISO will provide a weekly report on power pool transactions.

The **ISO** will publish each week a report or synopsis on the **power pool** transactions for the previous week, including a report on **AIES** incidents and operating conditions relevant to the operation of the **power pool**.

The **ISO** will maintain sufficient records to support audit and verification requirements of the **ISO** and to support as required any activities undertaken by the **Market Surveillance Administrator** pursuant to its mandate under the **AUC Act** and related regulations.

10.8 Ancillary Services Information Provision

The ISO provides information on ancillary services.

The **ISO** will provide to **market participants** in a timely fashion, **AIES** information indicating the amount of **ancillary services** being provided, the providers of **ancillary services** and those **assets** supplying specific **ancillary services**. The specific information to be provided is prescribed in **software and procedures**.

10.9 Confidentiality

10.9.1 Obligations

The ISO and market participants will follow guidelines concerning confidential information.

A **market participant**, the **ISO** and members of the **ISO board** who receive **confidential information**:

- a) shall not disclose the **confidential information** to any person except as permitted by these **rules**;
- b) shall only use or reproduce the **confidential information** for the purpose for which it was disclosed or another purpose contemplated by these **rules**; and
- c) shall not permit unauthorized persons to have access to the **confidential information**.

In accordance with the **AUC Act** and related regulations, the **ISO** will make available to the **Market Surveillance Administrator confidential information** and other records relating to **market participants** that are held by or become available to the **ISO** pursuant to its mandate under the **AUC Act** and related regulations.

10.9.2 Exceptions

Market participants and the ISO may disclose, use or reproduce confidential information in certain situations.

Exceptions to the obligations stated in rule 10.9.1 will be made when:

- a) the disclosure, use or reproduction of information if the relevant information is at the time generally and publicly available other than as a result of breach of confidence by the **pool participant** wishing to disclose, use or reproduce the information (or one of its disclosures);
- b) the disclosure of information by a **market participant** for the purposes of these **rules** or for the purpose of advising the relevant **market participant** in relation thereto and the use or reproduction of information for those purposes by:
 - i) an employee, director or officer of that **market participant** or its **affiliate** or subsidiary corporation as defined in the Alberta Business Corporations Act; or
 - ii) a legal or other professional adviser, auditor or other consultant of that **market participant** disclosing the information;
- c) the disclosure, use or reproduction of information by the **ISO** with the consent of the person or persons who provided the relevant information;
- d) the disclosure, use or reproduction of information to the extent required by law or by a lawful requirement of:
 - i) any agent, government or governmental body, authority or agency having jurisdiction over a **market participant** or its related bodies corporate; or
 - ii) any stock exchange having jurisdiction over a **market participant** or its **affiliate** or subsidiary corporation as defined in the Alberta Business Corporations Act;
- e) the disclosure, use or reproduction of information if required in connection with legal proceedings, arbitration, expert determination or other dispute resolution mechanism relating to the **rules** and only in accordance with these **rules**;
- f) the disclosure of information if required to protect the safety of personnel or equipment;
- g) the disclosure, use or reproduction of information as an unidentifiable component of an aggregate sum.

10.9.3 Conditions

Pool participants wishing to disclose confidential information must inform and consult with those affected by the information disclosure.

In the case of a request or demand for disclosure under paragraph (d) or (e) of rule 10.9.2, the **market participant** shall advise those affected by the request or demand (including other **market participants**, the **ISO board**, and the **ISO**) as soon as reasonably practicable and shall take all reasonable steps to permit those persons affected to challenge such request or demand or seek terms and conditions in respect of any such disclosure.